Interfacial Engineering with a Hole-Selective Self-Assembled Monolayer for Tin Perovskite Solar Cells via a Two-Step Fabrication

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ABSTRACT: This work is the first report on a hole-selective tin-based perovskite solar cell (PSC) using the concept of a self-assembled monolayer (SAM) to modify the ITO surface to fabricate a uniform tin perovskite layer via a two-step sequential deposition method. Herein, we developed a preheating procedure to diminish effectively the amounts of hydroxyl groups and oxygen vacancies on the ITO surface to produce a uniform SAM. The ITO substrate preheated at 400 °C gave the best device performance for an efficiency of power conversion (PCE) reaching 6.5%, and 80% of the initial PCE was maintained in a glovebox for ~1900 h. Electrochemical impedance spectra and time-resolved spectra were used to understand the interfacial charge recombination and hole-extraction kinetics in relation to the observed device performance. The present work thus provides a new direction for the development of SAM-based lead-free perovskite solar cells for their future scaled-up production.

By virtue of its low toxicity as well as a broad range of light absorption, a large charge-carrier mobility, and hence high theoretical efficiency, lead-free tin perovskite solar cells (PSCs) are deemed a sustainable, promising, and renewable technology.1–5 In tin PSCs, two challenges lie ahead: improving device performance and stability to demonstrate their large-area scalability. Although the certified efficiency of power conversion (PCE) of lead PSCs has reached beyond ~25%, recent efforts have made tin PSCs exceeding 14%.5,6 Unlike lead PSCs, inverted planar p-type architectures for tin PSCs are mostly chosen. For example, a typical tin PSC has the device structure ITO/PEDOT:PSS/FASnI3/C60/BCP/Ag.7 For most tests, tin perovskites such as FASnI3 were deposited with a one-step method that entails sophisticated injection of antisolvents in a narrow processing window (presumably less than ±1 s).1–3 This narrow injection window thus becomes a critical issue for tin perovskites that suffer from a small energy of defect formation, rapid crystallization, and reaction between tin halide and organic ammonium salts.8 We developed a two-step method9 to fabricate tin perovskites over a wide processing window (typically greater than ±5 s).9 We believe that this two-step method is well-suited to scale up tin PSCs for its great feature of varying the device configuration to attain the best device performance for future commercialization.

Tin perovskites possess abundant defect states and shallow energy levels (e.g., by 0.2–0.6 eV for VBM and 0.5–0.7 eV for CBM).2 Central to precedent research is protecting perovskites against Sn2+ oxidation to defective Sn4+ through exploring various additives,10–13 cations,14–19 alloying,20 or (pseudo)halides21,22 and through retarding their rapid crystallization with additives23 or through a solvent-mediated intermediate (e.g., a tin iodide (SnI2)–dimethyl sulfoxide (DMSO) complex).24,25 In contrast, little research has been reported on replacing of hole- or electron-transport material (represented by HTM or ETM, respectively) with novel materials to enhance further the rate of charge transport to improve the PCE. It is worth noting that upon applying an indene-C60 bisadduct (ICBA) to replace conventional C60 serving as ETM, the photovoltage of the device was significantly enhanced by as much as 0.3 V for a tin PSC.26 High-performance HTMs such as spiro-OMeTAD and PTAA that are intensively utilized in lead-based PSC have not been reported for tin-based PSCs; only PEDOT:PSS and NiOx were reported because of the interfacial problem between the HTM and tin perovskite that hinders the formation of a uniform perovskite layer.7 Inherent properties including parasitic light
absorption, ohmic drop loss, rigidity in energy levels and mechanical properties, nonconformal contact, and limited scalability challenge these two HTMs.\textsuperscript{28,29} Furthermore, thermal and optoelectrochemical vulnerability of PEDOT:PSS and oxidative NiO limit the further development of tin-based PSCs;\textsuperscript{30} searching for new replacements is another alternative.

A self-assembled monolayer (SAM) has been extensively studied because of its simple processing using spin-coating or dipping or vapor deposition, for not only optoelectronic applications, including solar cells, light-emitting diodes, transistors, and sensors, but also fundamental studies of electrochemistry, biology, and electronics and synthesis of nano- or microparticles.\textsuperscript{31,32} Energy levels tunable over a wide range could allow SAMs to serve as an interlayer for hole or electron selection in PSCs. In addition, SAMs are promising photovoltaic materials because of their molecular designability, excellent mechanical flexibility, no need of dopants, negligible loss of light transmittance, large-area scalability, and almost conformal and loss-less electrical contacts.\textsuperscript{33} To date, several SAMs have been explored for lead-based PSCs;\textsuperscript{33} they were mostly used in settling onto metal oxides such as TiO\textsubscript{2}, SnO\textsubscript{2}, or ZnO for the purposes of passivating the surfaces, enlarging perovskite crystals, prolonging operational stability, or catalyzing charge selectivity. A SAM served as a hole-selected interacting group, and a methoxy or methyl side chain as a terminal group.

In particular, the chemical structure of tin perovskites because DMSO has a higher boiling point and hence produces tin perovskites. DMSO possesses a great solubility for an essential additive, tin perovskite to retard crystallization and recombination and to utilize efficiently visible and near-infrared photons.\textsuperscript{34,35} Tailoring ITO surface states with a methoxy group is responsible for surface properties and interaction with perovskites.\textsuperscript{31–33} The dipolar moment of a SAM would determine the energy levels of CBM and VBM with respect to vacuum. The VBM values for a SAM formed from MeO-2PACz, MeO-2PACz, and Me-4PACz have been reported to be −5.6, −5.1, and −5.8 eV, respectively.\textsuperscript{36,37} Given that tin perovskite FASnI\textsubscript{3} has a VBM as shallow as −4.9 eV stemming from the electrons at Sn 5s weakly bound by the nuclear charge,\textsuperscript{3} the VBM of PEDOT:PSS remains −5.0 eV, which is near that of MeO-2PACz, likely boding well for excellent charge transfer.

Figure 1 illustrates an architecture of SAM-based PSC (ITO/SAM/FASnI\textsubscript{3}/C\textsubscript{60}/BCP/Ag) in which the chemical structures of the SAM, and the one- and two-step deposition methods are shown. Excellent film formation was reported for lead perovskites on these SAMs.\textsuperscript{36,37} For tin perovskites, however, DMSO instead of DMF was used as a precursor solvent for one-step deposition, which differs from lead perovskites because DMSO has a higher boiling point and superior coordination affinity with SnI\textsubscript{3} to retard crystallization and hence produce an effective film morphology.\textsuperscript{24} In addition, DMSO possesses a great solubility for an essential additive, tin fluoride (SnF\textsubscript{2}), to fabricate high-performance tin-based PSCs.\textsuperscript{24,25}

In our SAM approach, we attached SAMs onto ITO substrates upon dipping the substrates in a MeO-2PACz ethanolic solution (0.2 M) for 12 h. We then measured water-contact angles of SAM on ITO substrates and that of PEDOT:PSS for comparison. The results (Figure S1) indicate that PEDOT:PSS is strongly hydrophilic because of the hydrophilic PSS enriched on the surface.\textsuperscript{38,39} MeO-2PACz is the most hydrophilic among all three SAMs: the hydrophilicity follows the order PEDOT:PSS (<10\textdegree) > MeO-2PACz (55\textdegree) > 2PACz (72\textdegree) > Me-4PACz (83\textdegree), being prominently affected by the end-group property. PEDOT:PSS has hence served as a suitable HTM for tin-based PSCs, almost
Hole transport loss is negligible in a SAM because it forms only a single molecular layer on the ITO surface, \(^{31-33}\) whereas the thicker film (\(\sim 50\) nm) of PEDOT:PSS could retard hole transport, giving rise to charge accumulation at the interface for possible charge recombination. \(^{40}\) For the characteristic plots of current density versus voltage shown in Figure S2a, PEDOT:PSS suffers from loss in charge transport to an appreciable extent in comparison with the SAM. Furthermore, light harvesting in a long wavelength region (650–900 nm) can be significantly depressed in tin PSCs; \(^{41}\) light absorption loss by the HTM hence requires improvement. According to the transmittance spectra shown in Figure S2b, the SAMs dissipate only slightly the near-infrared light transmittance, whereas PEDOT:PSS decreases transmittance by 1–6% over the wavelength region 700–1200 nm.

To investigate which SAM fits best for tin PSCs, we deposited FASnI\(_3\) perovskites over the SAM on applying a two-step method reported elsewhere. \(^9\) In the first step, SnI\(_2\) (0.8 M), SnF\(_2\) (0.16 M), and EDAI\(_2\) (0.008 M) were dissolved in DMSO; in the second step, FAI (20 mg) was dissolved in IPA/hexafluoro-2-propanol (HFP)/chlorobenzene (CB) (volume ratio: 5:5:2) (1.2 mL); the FAI loading time was 40 s. We found that the more hydrophilic the SAM, the better the perovskite film formed. Accordingly, the devices made of the Me-4PACz SAM exhibited almost zero performance (see the result in Figure 2a). The 2PACz device attained PCE 1.8%, which is poorer than the device made with no SAM (PCE = 2.1%). In contrast, MeO-2PACz permitted the best formation of a perovskite film among the SAMs through the satisfactory hydrophilicity and, likely, the interaction between SnI\(_2\) and the methoxy group. Accordingly, the MeO-2PACz device attained PCE 4.1%, providing a successful demonstration of the hole-

Figure 2. Representative \(J–V\) characteristic curves of tin perovskite solar cells fabricated via (a) SAM of varied types on ITO with the dipping method according to a two-step approach and (b) varied SAM deposition methods (dipping vs spin-coating) according to perovskite preparations (two-step vs one-step) using MeO-2PACz as SAM. The preheating temperature of the ITO substrate is 100 °C.

Figure 3. XPS of O 1s obtained from ITO substrates preheated at (a) 100–500 °C with the individual spectra showing the deconvoluted components for (b) 100 °C, (c) 200 °C, (d) 300 °C, (e) 400 °C, and (f) 500 °C preheated ITO substrates, showing relative signals for M-O (oxides, \(\sim 530.0\) eV), V\(_0\) (oxygen vacancy, \(\sim 531.1\) eV), and M–OH (hydroxyl, \(\sim 532.3\) eV). The area ratios of V\(_0\) over M–OH were calculated to be 0.745/0.299, 0.829/0.361, 0.647/0.184, 0.495/0.179, and 0.494/0.177 from 100 to 500 °C, respectively.
reorientation; \(^{42,43}\) furthermore, In(OH)\(_3\) might be randomly SAM molecules, presumably to form clusters or to suppress on an ITO surface, which promote haphazard anchoring of ultimately to promote the device performance. We therefore sought the best surface of the ITO through tailoring the amounts of hydroxyls with thermal pretreatment that can trigger dehydration of In(OH)\(_3\) by increasing the temperature toward that at which a transformation to oxide such as In\(_2\)O\(_3\) might occur.\(^{44}\)

Figure 4. (a) Representative \(J–V\) curves and (b) corresponding IPCE spectra of a MeO-2PACz device with varied ITO preheating temperatures, 100–500 °C. Statistical distributions of (c) PCE, (d) \(V_{OC}\), (e) \(J_{SC}\), and (f) FF were obtained from more than 30 devices for each temperature condition.

extractions ability of SAM because of the satisfactory quality of the perovskite film together with the energy levels well-matched to FASnI\(_3\).

This SAM approach fails for a tin-based PSC fabricated according to a conventional one-step method. As shown in Figure 2b and Table S2, the one-step MeO-2PACz devices attained PCE of merely 0.1% and 0.2% using the spin-coating and dipping methods to prepare the SAM sample, respectively. Our results indicate that uniform tin perovskite films cannot be produced on top of a SAM with the one-step method because of the highly hydrophobic nature of the surface of the SAM. In contrast, our two-step approach has the flexibility to spread SnI\(_2\) evenly on top of the SAM and then to react with FAI forming a uniform perovskite layer for the device to work. As a result, using a spin-coating method with MeO-2PACz solution \(^{36,37}\) (1.0 M) to prepare the SAM sample followed by a two-step deposition method resulted in PCE 2.2%, which is significantly less than that prepared with the dipping method; the performance of the former device is similar to that for the device without the SAM. The MeO-2PACz device prepared via the dipping and two-step methods attained the best performance (PCE = 4.1%), outperforming the devices prepared with other methods.

We then closely inspected the surface of ITO and its interaction with the SAM molecules. According to the literature,\(^34\) hydroxyl groups such as in In(OH)\(_3\) are abundant on an ITO surface, which promote haphazard anchoring of SAM molecules, presumably to form clusters or to suppress reorientation;\(^{42,43}\) furthermore, In(OH)\(_3\) might be randomly oriented and loosely bound to the ITO lattice. The latter might weaken charge transfer, and the former hinder normal orientation of SAMs and hence alter the surface properties. As a result, removing undesirable hydroxyls is crucial for the formation of a uniform and cluster-free SAM film and ultimately to promote the device performance. We therefore sought the best surface of the ITO through tailoring the amounts of hydroxyls with thermal pretreatment that can trigger dehydration of In(OH)\(_3\) by increasing the temperature toward that at which a transformation to oxide such as In\(_2\)O\(_3\) might occur.\(^{44}\)

For a systematic study, we selected five temperatures between 100 and 500 °C with increment 100 °C to anneal the ITO substrate for 30 min under ambient air before SAM anchoring. ITO was heated at 100 °C as a standard for the results shown in Figure 2; a temperature above 600 °C caused structural deformation and bending of the ITO substrates. Figure S3 shows SEM images for the morphologies of the ITO substrates preheated under these five temperatures; no distinguishable morphological feature was observed for these pretreatments. Figures 3 and S4 show the high-resolution X-ray photoelectron spectroscopy (XPS) spectra of the O 1s species on the surface (no etching) and bulk states (measured after ~30 s of Ar etching), respectively. No change for the components on the O 1s species was observed in the bulk states. In contrast, the peak intensity of hydroxyls at binding energy of ~532.3 eV on the surface was increased upon increasing the temperature from 100 to 200 °C, gradually decreased to 400 °C, and maintained the same level at 500 °C. The variation of the component corresponding to the oxygen vacancy at binding energy ~531.1 eV was similar to that of hydroxyls, as shown in Figure 3. The decrease of oxygen vacancies upon annealing was observed because annealing in ambient air conditions can repair oxygen vacancies as reported elsewhere.\(^35\) In particular, the repaired oxygen vacancy concomitantly modified the principal optoelectrical properties on weakening the Burstein–Moss effect, as evident from a systematically narrowing band gap (Figure S5) and altering sheet resistance (Table S3). More importantly, a great broadening in the transmittance spectra in the 800–1500 nm region (Figure S5) was triggered from preheating ≥400 °C, desirable for a small band gap device like tin PSC to enhance its light-harvesting ability.

Using the ITO preheated at 100–500 °C, the corresponding \(J–V\) characteristic curves of the MeO-2PACz devices are shown in Figure 4a. A pronounced effect of the ITO preheating on photovoltaic performance is discernible; the device performance corresponds to the preheating temperature...
with order 400 > 500 > 300 > 100 > 200 °C; the 400 °C device attained PCE 6.5%; the 500 °C device also exhibits a PCE reaching 6.0%. The 200 °C device suffered from the greatest extent of oxygen vacancies and OH functional groups presumably as a result of cluster-tolerant film formation or normal orientation. Optical images shown in Figure 5b reveal that small granular-like white spots (i.e., defects) in perovskite films become pronounced for T ≤ 300 °C, whereas they were greatly depressed for T ≥ 400 °C. Figure 5c shows cross-sectional SEM images at varied preheating temperatures. The thickness of the perovskite film was clearly not uniform for T ≤ 300 °C, whereas smooth and thicker perovskite films were produced for T ≥ 400 °C. Top-view SEM images shown in Figure S10 indicate that preheating results in producing larger crystals for T ≥ 400 °C. This result is akin to the SAM template effect for the lead-perovskites reported elsewhere.33 Considering the above results, we imagine that modulating the amount of excessive hydroxyls for T ≥ 400 °C is fundamental for the formation of defect-tolerant and uniformly thick perovskite films. We emphasize that, despite the decrease of hydroxyls on preheating at T ≥ 400 °C, the amount of MeO-2PACz anchored on the ITO surface is still enough to serve as a smooth buffer layer for a perovskite layer of high quality to be produced on it.

Electrochemical impedance spectroscopy serves as a nondestructive and powerful tool that is frequently utilized in PSCs to examine the kinetics of charge transport and recombination.45 We recorded EIS spectra for the MeO-2PACz devices with T = 100−500 °C in darkness upon varying the bias voltage over 0.2−0.5 V with voltage amplitude of 10 mV for frequencies in the in range of 1 MHz−1 Hz. The full sets of Nyquist plots are shown in Figure S11; they were fitted using a suitable equivalent- circuit model. Two semicircles in a Nyquist plot are typically observed from a mesoporous tin PSC; the first and second semicircles are correlated to charge transfer and charge recombination at the perovskite interface, respectively.21,41 The first semicircle was absent in the SAM-based tin PSC, presumably because of rapid charge transfer; it can be associated with high-performance tin PSCs.31,41 We therefore correlated the dominant semicircle shown in Figure S11 with charge recombination. After analysis of these Nyquist plots, the charge recombination resistance is shown as a function of bias voltage in Figure 5d. The recombination resistance shows a trend following the order 400 > 500 > 300 > 100 > 200 °C, consistent with the trend on device performance (in particular Voc) (Figure 4a). The device for T = 400 °C

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Panels a and b of Figure S12 show the PL and TCSPC results with the ITO/perovskite and ITO/SAM/perovskite samples. Measurements of steady-state photoluminescence (PL) and TCSPC with the ITO/perovskite and ITO/SAM/perovskite samples. Panels a and b of Figure S12 show the PL and TCSPC results for these two samples at varied ITO preheating temperatures, respectively. The PL intensities of the ITO/perovskite samples show a systematically increasing trend upon increasing the preheating temperature (as shown in Figure S13a). This phenomenon is explicable as the increasing of perovskite upon increasing ITO preheating temperature. In contrast, the fitted TCSPC time coefficients presented in Table S9 indicate a decreasing trend upon increasing the ITO preheating temperature, which can be rationalized as the produced perovskite films involve defects in the bulk and in the grain surface at higher preheating temperatures (refer to the detailed discussion in the Supporting Information).

On the basis of the TCSPC results of the ITO/perovskite samples, we found that the ITO preheating processing might lead to a poor crystal growth of perovskite. The information on interfacial charge-recombination kinetics, however, was unobtainable because of insufficient time resolution for the TCSPC measurements. For this reason, we carried out femtosecond TAS experiments for the ITO/perovskite samples; a detailed description and discussion of the TAS results (Figure S13 and Table S10) are given in the Supporting Information. In summary, we found that ITO preheating helps to retard the interfacial charge recombination as the optimal condition occurs at $T = 400 \, ^\circ C$, consistent with the XPS results (Figure 3) showing the decreased OH groups and oxygen vacancies on the ITO surface upon increasing the preheating temperature.

When the SAM was present between ITO and the perovskite layer, the PL of the perovskite was significantly quenched (Figure S12a) because of the efficient effect of charge extraction assisted by the SAM. The TCSPC results shown in Figure S12b and Table S9 indicate that the average PL lifetimes greatly decreased in the presence of a SAM. The preheating also assisted the charge extraction for $r_{avg} = 4.1 \, ns$ at $T = 100 \, ^\circ C$, gradually decreasing to $r_{avg} = 2.6 \, ns$ at $T = 400 \, ^\circ C$; preheating the ITO to $500 \, ^\circ C$ raised $r_{avg}$ to $5.0 \, ns$ because of the enhanced contribution from the slow component, presumably assigned to the shallow trap-mediated recombination analogous to lead-based perovskites. Hole extraction was, however, significantly enhanced in the presence of the SAM for all samples so that the retardation of the extraction kinetics at $T = 500 \, ^\circ C$ might be rationalized to be due to an enhanced sheet resistance upon increasing the preheating temperature (Table S3). As a result, the sample for $T = 400 \, ^\circ C$ exhibited an excellent hole-extraction ability to attain the best device performance.

In conclusion, we report a new concept to modify the ITO surface using a self-assembled monolayer for fabrication of hole-selective tin perovskite solar cells without HTM PEDOT:PSS or NiO$_x$. We identified the most promising SAM, MeO-2PACz, dipped on the ITO substrate with a satisfactory hydrophilic property to deposit a perovskite layer according to a two-step sequential procedure. The conventional one-step approach cannot produce a uniform perovskite film because of the uneven nucleation and rapid crystallization of the tin perovskite crystal on the SAM surface, which is less hydrophilic than the PEDOT:PSS surface. In the two-step approach, however, the hydrophilicity on the ITO/SAM surface plays an important role in the interaction of the SAM with SnI$_2$ for the SnI$_2$/DMSO precursor evenly spread on the ITO substrate to react with the FAI/cosolvent precursor with a controllable loading time. To decrease the extent of OH groups and oxygen vacancies for feasible attachment of the SAM, the ITO substrates were preheated from 100 to 500 $^\circ C$; this procedure significantly enhanced the hydrophilicity of the ITO/SAM surface for a uniform tin perovskite film to be produced. With the optimal preheating condition at $T = 400 \, ^\circ C$, the device performance was promoted to PCE 6.5% with a device enduring stability attaining 80% of initial PCE for $\sim 1900 \, h$ without encapsulation. EIS, TCSPC, and femtosecond TAS techniques were employed to study the interfacial charge transport, charge recombination, and hole-extraction kinetics to promote an understanding of the key parameters controlling the device performance for this new series of tin perovskite solar cells. We believe that this novel technique reported herein will open a new door for future development on HTM-free lead-free perovskite solar cells.

**ASSOCIATED CONTENT**

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsenergylett.1c02124.

Experiments; current–voltage characteristics; contact angles; XPS, SEM, OM, PL, TCSPC, TAS, and EIS results (PDF)

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Notes
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